

Auditor Authentication Body

CODE OF CONDUCT

As a condition for initial and continued certification, I understand that I am bound to act in accordance to the following Code of Conduct:

- 1. I will ensure my actions, and the actions of personnel under my direct authority, are unbiased and trustworthy.
- 2. I will disclose to my employer any threat to impartiality that could be, or perceived to be, in conflict with my auditing activities.
- 3. I will not conduct myself in my performance or actions during an audit that would bring the IAQG into disrepute.
- 4. I will comply with all certification scheme requirements and procedures and will remain up-to-date with relevant changes to industry standards and corresponding certification schemes.
- 5. I will not intentionally communicate false or misleading information.
- 6. I will not accept gifts, gratuities, commissions, discounts, or any other inducement during my auditing activities that is, or could be perceived as being, offered in exchange for favorable treatment, nor knowingly permit others under my direct authority to do so.
- 7. I will not disclose privately held information, or any other confidential information that is derived as a result of my auditing activities, to anyone that could be considered as being outside the scope of the audit activities, unless I obtain written authorization to do so, or required by law.
- 8. In the event my actions come into question and there is an alleged breach of this code, I will co-operate fully in any formal inquiry process.
- 9. I commit myself to this Code of Conduct and any complaints, appeals, or disciplinary actions taken by the IAQG, the AAQG, or PROBITAS AUTHENTICATION as a result of a breach of this Code of Conduct.
- 10. I understand that any act in violation of this Code of Conduct may result in action being taken by the IAQG, the AAQG, and/or PROBITAS AUTHENTICATION including issuing a formal warning, suspension of certification, or withdrawal of certification if the violation is found to be accurate and true.

| ☐ I AGREE TO THE COD | E OF CONDUCT | | |
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| ☐ I DO NOT AGREE TO | THE CODE OF CONDUCT | | |
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| SIGNATURE: | | DATE: | |